

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Joseph M. KOCHANSKY

Art Unit: 3693

Application No.: 10/086,769

Examiner: Patel, Jagdish

Filed: March 1, 2002

Confirmation No.: 8954

For: **INVESTMENT PORTFOLIO  
COMPLIANCE SYSTEM**

**Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**AMENDMENT AND RESPONSE UNDER 37 C.F.R. §1.116**

Dear Sir:

Applicant submits this document in response to the Office Action mailed December 19, 2007.

**Amendments to the Claims** begin on page 2 of this paper

**Remarks** begin on page 10 of this paper.

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted via the Office electronic filing system in accordance with § 1.6(a)(4).

Dated: April 1, 2008

Signature:  (Jacob P. Martinez)